Clinical Transplantation

Daytime sleepiness in renal transplant recipients is associated with immunosuppressive non-adherence: a cross-sectional, multi-center study

Burkhalter H, Wirz-Justice A, Cajochen C, Weaver TE, Steiger J, Fehr T, Venzin RM, De Geest S. Daytime sleepiness in renal transplant recipients is associated with immunosuppressive nonadherence: a cross-sectional, multi-center study.

Abstract: Background: The aims of this study were to determine the prevalence of immunosuppressive non-adherence (NA) in renal transplant patients and describe whether the degree of daytime sleepiness (DS) and depressive symptomatology are associated with immunosuppressive NA.

Methods: Using a cross-sectional design, 926 home-dwelling renal transplant recipients who were transplanted at one of three Swiss transplant centers provided data by self-report. The Basel Assessment of Adherence Scale for immunosuppressive was used to measure the following: taking, timing, and overall NA to immunosuppressive medication. DS was assessed with the Epworth Sleepiness Scale (ESS) (cut-off \geq 6 for DS) and the Swiss Transplant Cohort Study DS item (cut-off \geq 4 for DS), and depressive symptomatology was assessed with the Depression, Anxiety, and Stress Scale (cut-off>10). An ordinal logistical regression model was applied for statistical analysis.

Results: The prevalence of the ESS-DS was 51%. NA for taking, timing, and the median overall NA level assessed by 0-100% visual analog scale (VAS) was 16%, 42%, and 0%, respectively. Based on the multivariate analysis, DS was significantly associated (p < 0.001) with taking (1.08 [1.04–1.13]), timing (1.07 [1.03–1.10]), and overall NA (1.09 [1.05–1.13]). Very similar results were found for the Swiss Transplant Cohort Study DS item.

Conclusion: DS is associated with immunosuppressive medication NA in renal transplant recipients. Admittedly, the association's strength is limited.

Hanna Burkhalter^{a,b}, Anna Wirz-Justice^c, Christian Cajochen^c, Terri E. Weaver^d, Jürg Steiger^b, Thomas Fehr^e, Reto M. Venzin^f and Sabina De Geest^{a,g}

^aInstitute of Nursing Science, University of Basel, ^bDivision of Transplant Immunology and Nephrology, University Hospital Basel, ^cCentre for Chronobiology, Psychiatric Clinics, University of Basel, Basel, Switzerland, ^dDepartment of Biobehavioral and Health Sciences, College of Nursing, University of Illinois Chicago, Chicago, IL, USA, ^eDivision of Nephrology, University Hospital Zürich, Zürich, ^fDivision of Nephrology, University Hospital Bern, Bern, Switzerland and ^gCenter for Health Services and Nursing Research, KU Leuven, Leuven, Belgium

Key words: daytime sleepiness – medication adherence – renal transplantation

Corresponding author: Sabina De Geest, PhD, RN, Institute of Nursing Science, University of Basel, Bernoullistrasse 28, CH - 4056 Basel, Switzerland. Tel.: +41 61 267 09 51; fax: +41 61 267 09 55; e-mail: sabina.degeest@unibas.ch

Conflict of interest: The authors have no conflict of interest.

Accepted for publication 14 October 2013

Medication non-adherence (NA) is defined as a deviation from the prescribed medication regimen sufficient to impair the regimen's intended effect (1). On the basis of meta-analysis data, Butler et al. (2) reported a median of 22% (IQR: 18–26%) of immunosuppressive NA in renal transplant recipients, and reported that NA contributes substantially to graft loss; a median of 36% (interquartile range: 14–65%) of graft losses was associated with prior NA (2). A preliminary analysis of an ongoing cohort study including kidney, liver, lung, and heart Tx recipients showed a 28% prevalence of NA to immunosuppressive drugs in the past month pre-Tx, 8.2% at six months post-Tx, 11.6% at one yr, and 13.1% at two yr, respectively (3).

Following transplantation, NA to immunosuppressive drug regimens is associated with an increased risk of graft loss (4) as well as negative economic outcomes (5). Non-adherent patients

have US \$12 840 higher medical costs over a period of three yr compared with adherent patients (5). Reported reasons for intentional NA include high medication costs and beliefs that the medication is harmful and causes side effects. The Swiss health system is regulated by the health insurance act that gives everyone living in Switzerland access to good medical care. This compulsory insurance covers the cost of medical treatment in case of illness or accident if the victim has no accident insurance. The insured person is free to select a health insurance provider. Immunosuppressive drugs are often paid for initially by the transplant recipient, who is then reimbursed 90% of the cost. Therefore, costs might be a factor influencing NA. Regarding selfreported behavior such as immunosuppressive NA, the key items to measure are taking the medication (ingestion), regular intake (timing adherence), drug holidays (not taking consecutive doses), and dose reduction (6).

Daytime sleepiness (DS) is a term used to describe difficulty maintaining a desired level of wakefulness, and refers to the feeling of drowsiness with a tendency to doze (7). The clinical measurement in use reflects the implications that this level of sleepiness has for the individual's ability to perform a relevant tasks (8). Thus, DS is not a disorder, but a symptom (9). DS is measured by electroencephalographic correlates of sleepiness and markers of sleep with objective tests, such as the Multiple Sleep Latency Test or the Maintenance of Wakefulness Test. However, the rather high costs of these diagnostic tools restrict their overall usefulness in clinical practice (10). Alternatives to the objective tests are selfreport questionnaires such as the Epworth Sleepiness Scale (ESS) (11). There is ample evidence for correlation between subjective and objective sleepiness (12).

Causes for DS vary and it is given in the following: insufficient sleep duration, sleep apnea, narcolepsy, idiopathic hypersomnia, recurrent hypersomnia, circadian rhythm disorders, restless legs syndrome and periodic limb movement disorder, neurological conditions, somatic illness, psychiatric disorders, and medication-induced somnolence (13). It is known that DS diminishes cognitive and physical performance (14), with adverse impact on health (15), which include obesity and impaired glucose tolerance (16), cardiovascular disease and hypertension (17), mental distress, depressive symptoms, anxiety, and increased alcohol use (18). DS is a public safety concern, particularly regarding the increased risk workplace injuries and drowsy-driving for accidents.

The rate of DS are between 1.4% (19) and 8% (20) in the general population and as high as 27.3% in hemodialysis patients (21). The most common sleep disorders in hemodialysis patients are insomnia, restless legs syndrome, obstructive sleep apnea, and snoring (22). In our RTx group, we found a 30.7% prevalence of poor sleep quality and a 34.1% prevalence of poor daytime functioning in the last four wk (23). RTx recipients commonly also suffer from other sleep disorders, such as chronic insomnia (8%), poor sleep quality (30–34%), obstructive sleep apnea (27%), restless legs syndrome (4.5%) (24), and periodic limb movement (25). Sleep-wake disturbances in RTx recipients are multifactorial.

Theoretical framework

DS and NA have been positively associated in heart failure patients (26). In these patients, obstructive sleep apnea, disturbed sleep, impaired cognition, and failure stage are the main determinants (27). In RTx recipients, the main determinates are unknown. RTx recipients follow a lifelong immunosuppressive treatment to inhibit or prevent activity of the immune system. NA to immunosuppressive has very little forgiveness (NA >5% of doses not taken, resulted in a higher risk of acute rejection rate) (28), and therefore factors (i.e., DS) hindering adherence have to be quantified in RTx recipients as well as the impact on an important outcome variable: immunosuppressive NA to plan targeted interventions.

To consider DS as a factor for medication NA (29), we used our adaptation of the Integrated Model of Behavioral Prediction (IMBP) (30), based on our previous work employing the IMBP to assess NA-associated variables in RTx groups (31). Previous research has suggested that most NA in RTx is accidental (non-intentional) (32, 33). As a non-intentional risk factor for NA, however, DS was not examined in these studies. The IMBP model posits that medication NA results from intentional and unintentional cognitive factors and barriers (30). In our adapted model, DS (a non-intentional tendency to fall asleep) is seen as a behavioral (unintentional) barrier to adherence (see Fig. 1).

Using the IMBP, our aims were given in the following: (i) to describe the prevalence of NA, DS, and depression in a cohort of RTx recipients, (ii) to describe whether medication NA dimensions differed between RTx recipients with and without DS, and (iii) to describe whether the degree of DS is associated with NA (control-



Fig. 1. Theoretical model adapted from the Integrative Model of Behavioral Prediction - Schmid-Mohler et al. (31).

ling for age, gender, years since Tx, depression, and comorbidities).

Methods

Design, setting, and sample

This study was a secondary data analysis using a cross-sectional multi-center design to gather data from a convenience sample of 926 home-dwelling RTx patients transplanted at three Swiss centers (parent study: Burkhalter et al. [34]). For the parent study, the ethics committee approved only the retrieval of the renal insufficiency cause, comorbid condition, and immunosuppressive drugs. The inclusion criteria were as follows: at least sixmonth post-transplant with a functioning graft; ability to understand and read German; 18 yr of age or older; and signed written informed consent. Individuals were excluded if they were unable to complete the study questionnaires; participation was not approved based on a congruent evaluation (insufficient language proficiency, too ill to fill in a questionnaire or known cognitive impairments) by the responsible physician and the head nurse in charge of outpatient transplant follow-up care; or the patient was on dialysis.

Variables and measurements

Sample characteristics. Age (in years), gender, years since transplantation, and presence of comorbidities were retrieved from the participants' hospital charts. Comorbidities were assessed using the Charlson comorbidity index (35), which assigns weights to specific diseases. The total score is calculated by adding the weights (35). We examined every addressed patient's chart to determine whether any significant comorbidities were present.

Immunosuppressive non-adherence. Medication adherence was assessed with three items of the Basel Assessment of Adherence Scale for Immunosuppressives (BAASIS), a self-report questionnaire assessing general medication adherence over the

preceding month (36). It assessed the following: taking NA (omission of single doses) and timing NA (timing deviations >2 h). These two items are rated on a six-point ordinal scale: never (0), once per month (1), every second week (2), every week (3), more than once per week (4), and every day (5). Finally, a visual analog scale (VAS) was used to assess patients' perception of their overall NA, ranging from 0% (never took medications as prescribed) to 100% (always took medications as prescribed). A prospective Italian study in liver Tx recipients (De Simone et al., University of Pisa, work in progress) supported the overall predictive validity of the BAASIS, while concurrent validity was demonstrated in Brazilian RTx recipients (37). Dobbles et al. (36) compared the BAASIS with other adherence self-report instrument in Tx, showing positive results for this tool.

Daytime sleepiness. Two measures were used to assess DS: the Swiss Transplant Cohort Study Daytime Sleepiness single-item scale (STCS-DS) (34) and the ESS (11). On the STCS-DS, study participants rated their DS over the past four wk on a scale of 0 (no sleepiness) to 10 (extreme sleepiness). Its item response format was made congruent with the STCS sleep quality item (23). Primary evidence supporting the validity of the STCS-DS has been developed by our research group (34). Based on receiver operating characteristic analysis (using the ESS as gold standard), the recommended cut-off is \geq 4 (details are described in Burkhalter et al. [34]).

The ESS is a validated eight-item questionnaire to measure a subject's expectation of dozing (falling into a light sleep) in eight hypothetical situations. Dozing probability ratings range from 0 (no probability) to 3 (high probability). An ESS total score ≥ 6 indicates DS (11). A score ≥ 10 indicates that a person tends to become very sleepy and should seek medical advice (11). Psychometric properties have been shown in English speaking populations: The ESS scores are reliable in a test-retest over a period of months (p < 0.001); internal consistency is adequate Cronbach's $\alpha = 0.88-0.74$ in four

different groups of subjects and it has concurrent validity with self-rated problem sleepiness (38, 39). In German-speaking populations (40), item analysis confirmed internal consistency of the scale (Cronbach's $\alpha = 0.60$ in healthy adults, 0.83 in patients with various sleep disorders). The item-to-total correlation ranged from 0.41 to 0.70. Test-retest reliability measured in a sample of 19 healthy subjects' obtained five months apart was acceptable with a mean difference in the total score of 0.3 ± 2.5 (p: non-significant) (40).

Depression. Depression was measured using the Depression, Anxiety, and Stress Scale (DASS-21), a 21-item self-report instrument. Each component is measured with seven items (41) on a four-point Likert-type severity/frequency scale rating the extent to which the patients have experienced each state over the past week (0 = did not apply to me;3 = applied to me very much). Scores for depression, anxiety, and stress are first summed, resulting in a range of 0-21 for each subscale. We evaluated results only for the DASS-depression (DASS-D) score (0-4 no depressive symptomatology; 5-6 mild, 7-10 moderate, 11-13 severe, and 14 or more extremely severe symptomatology) (42). The DASS-21 has strong construct validity, structure validity, and concurrent validity (42). To estimate a prevalence of depressive symptomatology, we will adopt the cut-off >10.

Data collection

Addresses of all eligible patients were extracted from the transplant centers' databases. Each potential participant received a packet containing an information letter, consent form, pre-stamped, pre-addressed envelopes, and the questionnaires. Participants returned the informed consent and the study questionnaires in separate envelopes to ensure anonymity.

Data were collected from the three centers sequentially, between December 2010 and September 2011 at the last center. Patients who had not responded within two months after their packets were sent, were called by a research associate to ask if they had received the materials and would still be willing to complete the questionnaire. Patients were called several times, after which they were categorized as not reachable. Packets that did not reach the patients, as they moved to another place, were sent to the new place if this was possible to track. If nobody knew where the patient moved to or if at this new place more than one had the same name, the packet was not sent. Ethics committees of the three transplant centers approved the study. Data were de-identified and stored in an electronic databank.

Statistical analysis

The categories of taking and timing NA were collapsed from six into four categories: never (0), once per month (1), every second week (2), and ranging from every week to every day (3) to have a meaningful sample size in each category. Descriptive statistics (means, standard deviations (SD), medians, quartiles, frequencies) were used as appropriate for the measurement characteristics. The Mann–Whitney *U*-test and chi-square tests (for nominal or dichotomous variables) were used to explore differences between participants and non-responders.

An ordinal logistic regression model was used to assess a possible association between DS and each of the three NA components (taking, timing, and overall NA on the VAS), controlling for depression, comorbidities, gender, age, and years since Tx. SPSS[®] Statistics software (Version 19.0.0, IBM Corporation, Somers, NY, USA) was used for statistical analysis, with all critical probability levels set to 5%.

Results

Of the 1492 eligible patients, 926 returned completed questionnaires (response rate: 62%) (Fig. 2). No significant differences on age, gender, year since transplantation, and comorbidities were found between responders and non-responders. Analyses were based on 926 participants. Sample characteristics are displayed in Table 1.

The prevalence of NA and ESS DS and STCS DS is displayed in Table 1. Both the ESS and the STCS–DS data indicated positive associations between DS and NA. Younger age and more years since transplantation were associated with higher NA and the univariate analysis positively associated depression with timing NA (Table 2).

In the multivariate model, including the ESS score for DS (Table 3) controlling for age, gender, years since transplantation, comorbidities, and depression, for each additional scale point on the ESS, the odds for taking NA increased by 8% (1.08 [1.04–1.13]), the odds for timing NA increased by 7% (1.07 [1.03–1.10]), and the odds for overall NA increased by 9% (1.09 [1.05–1.13]). For each additional five yr since the Tx took place, the odds for taking NA increased by 20% (1.20 [1.09–1.31]), the odds for timing NA increased by 19% (1.19 [1.10–1.29]), and the odds



Fig. 2. Flow diagram of the sample.

for overall NA increased by 16% (1.16 [1.07–1.25]). Older age was associated with a 7% higher chance for taking NA (0.93 [0.86–1.00]) and a 14% higher chance for timing NA and overall (VAS) NA (0.86 [0.82–0.91]). Male sex was associated with a 62% higher chance for overall (VAS) NA (1.62 [1.19–2.21]).

In the multivariate model, including the STCS-DS item for DS (Table 4) controlling for age, gender, years since transplantation, comorbidities, and depression, for each additional scale point on the STCS-DS scale, the odds for taking NA increased by 13% (1.13 [1.05–1.21)), the odds for timing NA increased by 5% (1.05 [0.99-1.10]), and the odds for overall (VAS) NA increased by 7% (1.07 [1.02-1.14]). For each additional five yr since the Tx took place, the odds for taking NA increased by 18% (1.18 [1.08–1.30]), the odds for timing NA increased by 18% (1.18 [1.09-1.27]), and the odds for overall NA increased by 14% (1.14 [1.06-1.23]). Older age was associated with a 14% higher chance for timing NA and 14% higher chance for overall (VAS) NA (0.86 [0.81-0.91]). A one-point increase in the depression score was associated with a 13% higher chance of timing NA (1.13 [1.00-1.27]). Male sex was associated with a 68% higher chance for overall (VAS) NA (1.68 [1.23-2.28]).

Discussion

The major finding of this study was that DS was significantly positively associated with taking, timing, and overall NA. With 926 patients from a multi-center setting, this is, to our knowledge, the largest sample in which DS in RTx recipients has ever been studied.

The prevalence of NA (Table 2) is comparable with data from other studies (30) confirming that the magnitude of NA is substantial (Table 1) in RTx recipients (31). An estimate of 20% of late acute rejections and 16% of graft losses are associated with NA (28). The current study's DS prevalence, as assessed with the ESS, was 50.8% using a cut-off of ≥ 6 and 21.3% with a cut-off of ≥ 10 . This prevalence is higher than in the general population, which ranges from 1.4% (19) to 8% (20), yet lower than those reported (ESS cut-off >10) in other chronically ill populations, for example, hemodialvsis (27%) (21), heart failure (23.6%) (43). gastro-esophageal reflux disease (48.8%) (44), and primary biliary cirrhosis (51%) (9). Our multivariate model showed that higher DS scores were associated with more immunosuppressive NA. Based on our theoretical model (30) (Fig. 2), these findings support the premise that DS is a non-intentional barrier to adherence.

Table 4 showed 13% greater odds of being nonadherent in the drug taking, the statistical significance seems very small, though the clinical significance appears to be more impressive. A patient reporting a score of four on the STCS-DS (no DS), compared to a patient with a score of eight has a 52% greater odds of being non-adherent (four times 13%).

The time investment of screening a patient with a scale from 0 to 10 for DS is worth compared to the costs related to the consequences of NA (28). The current literature highlights the importance of adherence to avoid graft rejection (2), therefore minimizing the risk for NA, will reduce the risk for acute rejection (45).

In the multivariate analysis, depressive symptomatology was associated with timing NA, showing independent predictability. In our data, the prevalence for depressive symptomatology was higher (not significant) in the group of patients having DS. One criterion for major depressive disorder is "insomnia or hypersomnia nearly every day" (46). Hypersomnia, a condition of DS, may appear before the patient meets the full diagnostic

Table 1. Description of the sample

	Mean ± SD; Median (Q25-Q75); (%)
Characteristics (N = 926)	
Males	63
Age [Median (Q25-Q75)]	59.7 (50.26 – 67.77)
Years Tx ^a [Median (Q25-Q75)]	9.42 (4.93 – 15.85)
$CCI > 1^{b}$	52.9
Causes for renal insufficiency	
Diabetic nephropathy	11.8
Vascular nephropathy	9.4
Chronic glomerulonephritis	24.0
Interstitial nephropathy	12.1
Cystic renal diseases	19.4
System diseases	3.4
Other causes	8.3
Most prevalent comorbid condition	
Hypertension	85.6
Acute myocardial infarction	4.8
Congestive heart failure	33.2
Peripheral vascular disease	9.5
Liver disease	10.6
Diabetes	17.9
Diabetic complication	13.4
Cancer	14.1
Immunosuppressive regimen	
Cyclosporine	44.6
lacrolimus	40.5
Sirolimus/Everolimus	8.8
Mycophenolat motetil	66.8
Azathioprine	15.8
	39.1
Daytime sleepiness	50.0
ESS ≥ 6	50.9
ESS 2 10	21.3
SICS-DS	40.7
Never NA	94
Once per menth NA	10.5
Every second week NA	3.5
Every week to every day NA	1 9
Timing adherence	1.5
Never NA	57 9
Once per month NA	14.4
Every second week NA	20.4
Every week to every day NA	7.3
Overall adherence	110
100% adherent	65
90–99% adherent	26.5
80–89% adherent	5.3
70–79% adherent	1.6
0-69% adherent	1.6
Depressive symptomatology ^c	33.7

ESS, Epworth Sleepiness Scale; STCS-DS, Swiss Transplant Cohort Study daytime sleepiness score; NA, non-adherence.

^aYears since the transplantation took place.

^bCharlson Comorbidity Index over one score point.

^cDepressive symptomatology based on the Depression, Anxiety, and Stress Scale (DASS-21) score.

criteria for depression (47). When it is a symptom of depression, DS creates distress and disrupts social functionality (48). This is highlighted by an epidemiological study where sleep durations of less than six h or more than eight h were associated with depression (49).

Length post-transplantation, in years, was positively associated with taking, timing, and overall NA, confirming published findings (50–52).

Limitations

The primary limitation of this study was the crosssectional design, which allows the identification of associations but does not infer causality. As crosssectional studies cannot differentiate cause and effects from simple associations, we base the interpretation of the results using the theoretical framework that guided our study as a basis. Furthermore, it was a secondary data analysis not allowing including some relevant factors. There is a need for NA research including prospective longitudinal studies to assess the evolution of NA over time and causality among factors as well as research specifically developed to assess risk factors of NA thus including a comprehensive set of variables or combination of variables in the model to predict NA. Longitudinal prospective cohort studies especially would allow to study changes and trends of DS over time controlling for different characteristics. In addition, this study was a secondary data analysis limiting the number and kind of variables we could include in our analysis such as medical conditions leading to fatigue, medication contributing to sleepiness, forgetfulness, symptoms, and sleep diagnoses.

A further limitation is the use of self-reported data on immunosuppressive medication NA and DS. NA self-reports may be underestimated (53), DS may be overestimated (54), and a social desirability bias is possible; however, self-reports are easy to complete, inexpensive, and feasible for large samples (55).

Thirty-eight percent were non-responders, and this prevalence is considerably higher than the previous study on poor sleep quality done in one center (23). Participation seemed to be influenced by familiarity with the investigator as patients that had to be called were irritated if they did not know the nurse responsible for the study. To conclude, this study was useful in identifying associations that can be more rigorously studies using a cohort or a controlled study.

Conclusion

While DS, as the main factor in our analysis, showed associations with taking, timing, and overall NA, it is a symptom for which treatment is

Table 2. Predictors of non-adherence in the univariate anal	ysis
---	------

Univariate	Taking OR (CI 95%)	р	Timing OR (CI 95%)	р	VAS OR (CI 95%)	р
Sex ^a	0.77 (0.53;1.11)	0.162	0.79 (0.61;1.03)	0.080	0.63 (0.47;0.84)	<0.001
Age/5 ^b	0.93 (0.87;1.00)	0.047	0.86 (0.82;0.91)	< 0.001	0.86 (0.82;0.91)	<0.001
Years Tx/5°	1.18 (1.08;1.29)	< 0.001	1.14 (1.06;1.23)	< 0.001	1.10 (1.02;1.19)	0.010
CCI	0.97 (0.87;1.08)	0.625	0.94 (0.87;1.02)	0.129	1.02 (0.94;1.10)	0.628
ESS	1.08 (1.04;1.13)	< 0.001	1.08 (1.05;1.11)	< 0.001	1.09 (1.05;1.12)	<0.001
STCS-DS	1.13 (1.06;1.20)	< 0.001	1.06 (1.01;1.11)	0.027	1.06 (1.01;1.11)	0.030
Depression ^d	1.15 (1.00;1.33)	0.058	1.15 (1.03;1.28)	0.010	1.04 (0.93;1.17)	0.515

CCI, Charlson Comorbidity Index score; ESS, Epworth Sleepiness Scale score, STCS-DS, Swiss Transplant Cohort Study daytime sleepiness score; VAS, visual analog scale.

^aReference category women = 0.

^bAge per five yr.

^cYears since the transplantation took place per five yr.

^dDepressive symptomatology based on the Depression, Anxiety, and Stress Scale (DASS-21) score.

Table 3. Predictors (including DS measured with the ESS) of non-adherence in the multivariate analysis

Multivariate	Taking OR (CI 95%)	р	Timing OR (CI 95%)	р	VAS OR (CI 95%)	р
Sex ^a	1.23 (0.82;1.83)	0.313	1.25 (0.94;1.65)	0.129	1.62 (1.19;2.21)	0.002
Age/5 ^b	0.93 (0.86;1.00)	0.044	0.86 (0.82;0.91)	< 0.001	0.86 (0.81;0.91)	<0.001
Years Tx/5°	1.20 (1.09;1.31)	< 0.001	1.19 (1.10;1.29)	< 0.001	1.16 (1.07;1.25)	<0.001
CCI	0.97 (0.87;1.08)	0.580	0.92 (0.85;1.00)	0.053	1.03 (0.95;1.12)	0.494
ESS	1.08 (1.04;1.13)	< 0.001	1.07 (1.03;1.10)	< 0.001	1.09 (1.05;1.13)	<0.001
Depression ^d	1.07 (0.91;1.25)	0.440	1.09 (0.96;1.23)	0.176	0.95 (0.83;1.08)	0.439

CCI, Charlson Comorbidity Index score; ESS, Epworth Sleepiness Scale score; DS, daytime sleepiness; VAS, visual analog scale.

^aReference category women = 0.

^bAge per five yr.

^cYears since the transplantation took place per five yr.

^dDepressive symptomatology based on the Depression, Anxiety, and Stress Scale (DASS-21) score.

Table 4. Predictors (including DS measured with the STCS-DS) of non-adherence in the multivariate analysis

	Taking OR (CI 95%)	р	Timing OR (CI 95%)	р	VAS OR (CI 95%)	р
Univariate						
Sex ^a	0.77 (0.53;1.11)	0.162	0.79 (0.61;1.03)	0.080	0.63 (0.47;0.84)	<0.001
Age/5 ^b	0.93 (0.87;1.00)	0.047	0.86 (0.82;0.91)	< 0.001	0.86 (0.82;0.91)	<0.001
Years Tx/5 ^c	1.18 (1.08;1.29)	< 0.001	1.14 (1.06;1.23)	< 0.001	1.10 (1.02; 1.19)	0.010
CCI	0.97 (0.87;1.08)	0.625	0.94 (0.87;1.02)	0.129	1.02 (0.94; 1.10)	0.628
ESS	1.08 (1.04;1.13)	< 0.001	1.08 (1.05;1.11)	< 0.001	1.09 (1.05;1.12)	<0.001
STCS-DS	1.13 (1.06;1.20)	< 0.001	1.06 (1.01;1.11)	0.027	1.06 (1.01;1.11)	0.030
Depression ^d	1.15 (1.00;1.33)	0.058	1.15 (1.03;1.28)	0.010	1.04 (0.93;1.17)	0.515
Multivariate						
Sex ^a	1.30 (0.88;1.94)	0.191	1.30 (0.98;1.73)	0.065	1.68 (1.23;2.28)	<0.001
Age/5 ^b	0.93 (0.86;1.00)	0.045	0.86 (0.82;0.91)	< 0.001	0.86 (0.81;0.91)	<0.001
Years Tx/5°	1.18 (1.08;1.30)	< 0.001	1.18 (1.09;1.27)	< 0.001	1.14 (1.06;1.23)	<0.001
CCI	0.96 (0.86;1.08)	0.497	0.92 (0.85;1.00)	0.052	1.03 (0.94;1.11)	0.557
STCS-DS	1.13 (1.05;1.21)	<0.001	1.05 (0.99;1.10)	0.102	1.07 (1.02;1.14)	0.013
Depression ^d	1.08 (0.93;1.27)	0.315	1.13 (1.00;1.27)	0.052	0.99 (0.87;1.13)	0.871

CCI, Charlson Comorbidity Index score; STCS-DS, Swiss Transplant Cohort Study daytime sleepiness score; DS, daytime sleepiness; ESS, Epworth Sleepiness Scale; VAS, visual analog scale.

^aReference category women = 0.

^bAge per five yr.

°Years since the transplantation took place per five yr.

^dDepressive symptomatology based on the Depression, Anxiety, and Stress Scale (DASS-21) score.

available if its underlying cause is known. The high prevalence of DS in RTx recipients suggests a need to assess and treat DS as a means for reducing the likelihood of NA (55). Very specific treatments are available for DS, and may consist of antidepressants, a diet to reduce weight and sleep apnea symptoms, short daytime naps to counteract drowsiness, or sun-

light exposure (respectively light therapy) to increase alertness and synchronize the subject's internal clock with the external day–night cycle (56). Above all, the patient must be addressed as an individual, considering his predispositions and risk factors, to tailor an effective intervention. This means for the ambulatory follow-up care to inquire about sleep (i.e., using the STCS-DS screening tool) on a regular basis to detect sleep-wake problems. Interventions to prevent NA, focusing on DS as a non-intentional behavior, include implementing reminder systems, increasing social support, encouraging self-monitoring, and, if possible, simplifying the medication regimen's complexity (57).

Acknowledgement

We gratefully acknowledge all the volunteers who helped with data collection, and the ambulatory care teams of the University Hospitals of Basel, Bern, and Zürich for their excellent collaboration regarding information transfer. Further, we cordially thank C. Schultis for medical editing. This study was funded by a research grant from the Swiss Renal Foundation (Alfred and Erika Bär-Spycher Foundation) and an International Transplant Nurse Society research grant award.

Transparency declaration

The results presented in this study have not been published previously in whole or part, except in abstract format: Burkhalter H, et al. DS Associated with Immunosuppressive NA in Renal Transplant Recipients: A Cross-Sectional Multi-Center Study. In 44th Annual Meeting of the Swiss Society of Nephrology 2012. Kongresshaus Zürich, Switzerland: Swiss Medical Weekly.

Authors' contributions

H. Burkhalter, A. Wirz-Justice, and S. De Geest designed the study, analyzed the data, and wrote the manuscript. All other co-authors reviewed and gave input. C. Cajochen and T. Weaver contributed to DS background knowledge. H. Burkhalter, J. Steiger, T. Fehr, and R.M. Venzin collected the data in the three centers.

References

- 1. FINE RN, BECKER Y, DE GEEST S et al. Nonadherence consensus conference summary report. Am J Transplant 2009: 9: 35.
- 2. BUTLER JA, RODERICK P, MULLEE M, MASON JC, PEVELER RC. Frequency and impact of nonadherence to immunosuppressants after renal transplantation: a systematic review. Transplantation 2004: 77: 769.

- STEIGER J, VAN DELDEN C, PASCUAL M. Swiss Transplant Cohort Study. 2012 [cited 2012 September 2nd]; Available from: http://stcs.ch/.
- 4. VLAMINCK H, MAES B, EVERS G et al. Prospective study on late consequences of subclinical non-compliance with immunosuppressive therapy in renal transplant patients. Am J Transplant 2004: 4: 1509.
- PINSKY BW, TAKEMOTO SK, LENTINE KL, BURROUGHS TE, SCHNITZLER MA, SALVALAGGIO PR. Transplant outcomes and economic costs associated with patient noncompliance to immunosuppression. Am J Transplant 2009: 9: 2597.
- 6. VRIJENS B, DE GEEST S, HUGHES DA et al. A new taxonomy for describing and defining adherence to medications. Br J Clin Pharmacol 2012: 73: 691.
- YOUNG TB. Epidemiology of daytime sleepiness: definitions, symptomatology, and prevalence. J Clin Psychiatry 2004: 65(Suppl 16): 12.
- 8. BALKIN TJ. Behavioral biomarkers of sleepiness. J Clin Sleep Med 2011: 7(5 Suppl): S12.
- NEWTON JL, GIBSON GJ, TOMLINSON M, WILTON K, JONES D. Fatigue in primary biliary cirrhosis is associated with excessive daytime somnolence. Hepatology 2006: 44: 91.
- COELHO FM, NARAYANSINGH M, MURRAY BJ. Testing sleepiness and vigilance in the sleep laboratory. Curr Opin Pulm Med 2011: 17: 406.
- 11. JOHNS MW. A new method for measuring daytime sleepiness: the Epworth sleepiness scale. Sleep 1991: 14: 540.
- JOHNS MW. Sensitivity and specificity of the multiple sleep latency test (MSLT), the maintenance of wakefulness test and the Epworth sleepiness scale: failure of the MSLT as a gold standard. J Sleep Res 2000: 9: 5.
- BOULOS MI, MURRAY BJ. Current evaluation and management of excessive daytime sleepiness. Can J Neurol Sci 2010: 37: 167.
- DINGES DF, PACK F, WILLIAMS K et al. Cumulative sleepiness, mood disturbance, and psychomotor vigilance performance decrements during a week of sleep restricted to 4-5 hours per night. Sleep 1997: 20: 267.
- Institute of Medicine. Sleep disorders and sleep deprivation: an unmet public health problem. 2006 [cited 2011 Mai, 10th]; Available from: http://books.nap.edu/openbook.php?record_id=11617&page=55.
- 16. HASLER G, BUYSSE DJ, KLAGHOFER R et al. The association between short sleep duration and obesity in young adults: a 13-year prospective study. Sleep 2004: 27: 661.
- 17. NEWMAN AB, SPIEKEEMAN CF, ENRIGHT P et al. Daytime sleepiness predicts mortality and cardiovascular disease in older adults. The Cardiovascular Health Study Research Group. J Am Geriatr Soc 2000: 48: 115.
- HASLER G, BUYSSE DJ, GAMMA A et al. Excessive daytime sleepiness in young adults: a 20-year prospective community study. J Clin Psychiatry 2005: 66: 521.
- FURIHATA R, UCHIYAMA M, TAKAHASHI S et al. The association between sleep problems and perceived health status: a Japanese nationwide general population survey. Sleep Med 2012: 13: 831.
- 20. OHAYON MM. From wakefulness to excessive sleepiness: what we know and still need to know. Sleep Med Rev 2008: 12: 129.
- ARAUJO SM, BRUIN VM, DAHER EF, MEDEIROS CA, ALME-IDA GH, BRUIN PF. Quality of sleep and day-time sleepiness in chronic hemodialysis: a study of 400 patients. Scand J Urol Nephrol 2011: 45: 359.
- 22. SABRY AA, ABO-ZENAH H, WAFA E et al. Sleep disorders in hemodialysis patients. Saudi J Kidney Dis Transpl 2010: 21: 300.

- BURKHALTER H, SEREIKA SM, ENGBERG S, WIRZ-JUSTICE A, STEIGER J, DE GEEST S. Validity of 2 sleep quality items to be used in a large cohort study of kidney transplant recipients. Prog Transplant 2011: 21: 27.
- 24. MOLNAR MZ, NOVAK M, SZEIFERT L et al. Restless legs syndrome, insomnia, and quality of life after renal transplantation. J Psychosom Res 2007: 63: 591.
- BEECROFT JM, ZALTZMAN J, PRASAD GV, MELITON G, HANLY PJ. Improvement of periodic limb movements following kidney transplantation. Nephron Clin Pract 2008: 109: c133.
- RIEGEL B, MOELTER S, RATCLIFFE SJ et al. Excessive daytime sleepiness is associated with poor medication adherence in adults with heart failure. J Card Fail 2011: 17: 340.
- 27. RIEGEL B, WEAVER TE. Poor sleep and impaired self-care: towards a comprehensive model linking sleep, cognition, and heart failure outcomes. Eur J Cardiovasc Nurs 2009: 8: 337.
- DE GEEST S, DENHAERYNCK K, DOBBELS F. Clinical and economical consequences of nonadherence to immunosuppressive drugs in adult solid organ transplantation. In: Grinyo JM, Oppenheimer F eds. International Transplant Updates. Barcelona, Spain: Permanyer Publications, 2011: 63.
- GOEL N, RAO H, DURMER JS, DINGES DF. Neurocognitive consequences of sleep deprivation. Semin Neurol 2009: 29: 320.
- FISHBEIN M, AJZEN I. Prediction of Behaviour, in Belief, Attitude, Intention, and Behavior: An Introduction to Theory and Research. Reading, MA: Addison-Wesley, 1975: 335.
- SCHMID-MOHLER G, THUT MP, WUTHRICH RP, DEN-HAERYNCK K, DE GEEST S. Non-adherence to immunosuppressive medication in renal transplant recipients within the scope of the Integrative Model of Behavioral Prediction: a cross-sectional study. Clin Transplant 2010: 24: 213.
- LEHANE E, MCCARTHY G. Intentional and unintentional medication non-adherence: a comprehensive framework for clinical research and practice? A discussion paper. Int J Nurs Stud 2007: 44: 1468.
- KJELDSEN LJ, BJERRUM L, HERBORG H, KNUDSEN P, ROSSING C, SONDERGAARD B. Development of new concepts of non-adherence measurements among users of antihypertensives medicines. Int J Clin Pharm 2011: 33: 565.
- BURKHALTER H, WIRZ-JUSTICE A, CAJOCHEN C et al. Validation of a single item to assess daytime sleepiness for the Swiss Transplant Cohort Study. Prog Transplant 2013: 23: 220.
- CHARLSON ME, POMPEI P, ALES KL, MACKENZIE CR. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. J Chronic Dis 1987: 40: 373.
- 36. DOBBELS F, BERBEN L, DE GEEST S et al. The psychometric properties and practicability of self-report instruments to identify medication nonadherence in adult transplant patients: a systematic review. Transplantation 2010: 90: 205.
- 37. MARSICANO EDE O, FERNANDES NDA S, COLUGNATI F et al. Transcultural adaptation and initial validation of Brazilian-Portuguese version of the Basel assessment of adherence to immunosuppressive medications scale (BAASIS) in kidney transplants. BMC Nephrol 2013: 14: 108.
- JOHNS MW. Sleepiness in different situations measured by the Epworth Sleepiness Scale. Sleep 1994: 17: 703.

- JOHNS MW. Reliability and factor analysis of the Epworth Sleepiness Scale. Sleep 1992: 15: 376.
- BLOCH KE, SCHOCH OD, ZHANG JN, RUSSI EW. German version of the Epworth Sleepiness Scale. Respiration 1999: 66: 440.
- 41. LOVIBOND PF, LOVIBOND SH. The structure of negative emotional states: comparison of the Depression Anxiety Stress Scales (DASS) with the Beck Depression and Anxiety Inventories. Behav Res Ther 1995: 33: 335.
- 42. GLOSTER AT, RHOADES HM, NOVY D et al. Psychometric properties of the Depression Anxiety and Stress Scale-21 in older primary care patients. J Affect Disord 2008: 110: 248.
- RIEGEL B, RATCLIFFE SJ, SAYERS SL et al. Determinants of excessive daytime sleepiness and fatigue in adults with heart failure. Clin Nurs Res 2012: 21: 271.
- 44. HU JH, LIN SW, HSIEH YY, CHEN NH. An association between unrecognized gastroesophageal reflux disease and excessive daytime sleepiness in Taiwanese subjects suspected to have liver disease: a pilot study. BMC Gastroenterol 2011: 11: 55.
- 45. DE GEEST S, BURKHALTER H, DE BLESER L et al. Nonadherence to immunosuppressive drugs in transplantation: what can clinicians do? J Ren Nursing 2010: 2: 59.
- FAVA M. Daytime sleepiness and insomnia as correlates of depression. J Clin Psychiatry 2004: 65(Suppl 16): 27.
- 47. PERLIS ML, GILES DE, BUYSSE DJ, TU X, KUPFER DJ. Selfreported sleep disturbance as a prodromal symptom in recurrent depression. J Affect Disord 1997: 42: 209.
- 48. ALAPIN I, FICHTEN CS, LIBMAN E, CRETI L, BAILES S, WRIGHT J. How is good and poor sleep in older adults and college students related to daytime sleepiness, fatigue, and ability to concentrate? J Psychosom Res 2000: 49: 381.
- 49. KANEITA Y, OHIDA T, UCHIYAMA M et al. The relationship between depression and sleep disturbances: a Japanese nationwide general population survey. J Clin Psychiatry 2006: 67: 196.
- DENHAERYNCK K, STEIGER J, BOCK A et al. Prevalence and risk factors of non-adherence with immunosuppressive medication in kidney transplant patients. Am J Transplant 2007: 7: 108.
- 51. COUZI L, MOULIN B, MORIN MP et al. Factors predictive of medication nonadherence after renal transplantation: a french observational study. Transplantation 2012.
- JAUSSENT I, BOUYER J, ANCELIN ML et al. Insomnia and daytime sleepiness are risk factors for depressive symptoms in the elderly. Sleep 2011: 34: 1103.
- 53. SCHAFER-KELLER P, STEIGER J, BOCK A, DENHAERYNCK K, DE GEEST S. Diagnostic accuracy of measurement methods to assess non-adherence to immunosuppressive drugs in kidney transplant recipients. Am J Transplant 2008: 8: 616.
- LAUDERDALE DS, KNUTSON KL, YAN LL, LIU K, RATHOUZ PJ. Self-reported and measured sleep duration: how similar are they? Epidemiology 2008: 19: 838.
- 55. SCHWARTZ JR. Pharmacologic management of daytime sleepiness. J Clin Psychiatry 2004: 65(Suppl 16): 46.
- 56. WIRZ-JUSTICE A, BENEDETTI F, TERMAN M. Practical details for wake therapy. In: Terman M, Benedetti F, Wirz-Justice A eds. Chronotherapeutics for Affective Disorders: A Clinician's Manual for Light and Wake Therapie. Basel: Karger, 2009: 44.
- MORRISSEY PE, FLYNN ML, LIN S. Medication noncompliance and its implications in transplant recipients. Drugs 2007: 67: 1463.