G Model BBR 8941 1-7

ARTICLE IN PRESS

Behavioural Brain Research xxx (2014) xxx-xxx



Contents lists available at ScienceDirect

Behavioural Brain Research



journal homepage: www.elsevier.com/locate/bbr

Research report

Light modulation of human sleep depends on a polymorphism in the clock gene *Period3*

4 Q1 Sarah L. Chellappa^{a,b}, Antoine U. Viola^a, Christina Schmidt^a, Valérie Bachmann^c,
 5 Virginie Gabel^a, Micheline Maire^a, Carolin F. Reichert^a, Amandine Valomon^a,
 6 Hans-Peter Landolt^c, Christian Cajochen^{a,*}

^a Centre for Chronobiology, Psychiatric Hospital of the University of Basel, Basel, Switzerland

^b Cyclotron Research Center, University of Liège, Liège, Belgium

^c Institute of Pharmacology & Toxicology, University of Zurich, Zurich, Switzerland

10

11 12

13

17

- Non-image-forming (NIF) responses to light on sleep show individual differences.
- "Blue" light increased occipital NREM slow-wave activity in *PER3*^{5/5} individuals.
- *PER3^{5/5}* individuals perceive "blue" light as being brighter.
- Humans homozygous for the *PER3^{5/5}* allele are more sensitive to NIF light effects.

38 ARTICLE INFO

Received in revised form 19 May 2014Accepted 24 May 2014

- 24 Available online xxx
- 25 <u>Keywords:</u>
- 27 Sleep EEG activity
- 28 Spectral analysis
- 29 Luminance encoding
- 30 Clock gene polymorphism
- 31 Non-image-forming visual system

ABSTRACT

Non-image-forming (NIF) responses to light powerfully modulate human physiology. However, it remains scarcely understood how NIF responses to light modulate human sleep and its EEG hallmarks, and if there are differences across individuals. Here we investigated NIF responses to light on sleep in individuals genotyped for the PERIOD3 (PER3) variable-number tandem-repeat (VNTR) polymorphism. Eighteen healthy young men (20–28 years; mean \pm SEM: 25.9 \pm 1.2) homozygous for the PER3 polymorphism were matched by age, body-mass index, and ethnicity. The study protocol comprised a balanced cross-over design during the winter, in which participants were exposed to light of 40 lx at 6500 K (blueenriched) and at 2500 K (non-blue enriched), during 2 h in the evening. Compared to light at 2500 K, light at 6500 K induced a significant increase in all-night NREM sleep slow-wave activity (SWA: 1.0-4.5 Hz) in the occipital cortex for the PER3^{5/5} individuals, but not for PER3^{4/4} volunteers. Dynamics of SWA across sleep cycles indicated increased occipital NREM sleep SWA for virtually the entire sleep episode only in the PER3^{5/5} individuals. Furthermore, they experienced light at 6500 K as significantly brighter. Intriguingly, this subjective perception of brightness significantly predicted their increased occipital SWA throughout the sleep episode. Our data indicate that humans homozygous for the PER3^{5/5} allele are more sensitive to NIF light effects, as indexed by specific changes in sleep EEG activity. Ultimately, individual differences in NIF light responses on sleep may depend on a clock gene polymorphism involved in sleep-wake regulation.

© 2014 Published by Elsevier B.V.

37

38

39

40

41

42

43

44

45

33 1. Introduction

34

35

36

Retinal photoreception encompasses not only rods and cones, but also a small subset of intrinsically photosensitive retinal ganglion cells (ipRGCs) expressing the photopigment melanopsin [1–3]. The ipRGCs play a major role to circadian entrainment, subjective and objective alertness, cognitive brain function, and numerous non-image-forming (NIF) responses [4–6], with peak sensitivity at the short-wavelength range (ca. 460–480 nm) [1,7]. A direct retinal pathway originating from ipRGCs to the ventro-lateral preoptic nucleus (VLPO) provides morphological support that light modulates sleep [2]. In mice, light induces sleep during their active phase, through rod-cone and melanopsin-based pathways [3]. These short-term light effects on sleep are further described in two studies [3,8], where mice lacking melanopsin fail

Please cite this article in press as: Chellappa SL, et al. Light modulation of human sleep depends on a polymorphism in the clock gene *Period3*. Behav Brain Res (2014), http://dx.doi.org/10.1016/j.bbr.2014.05.050

^{*} Corresponding author. Tel.: +41 613255318; fax: +41 613255577.

E-mail addresses: Christian.Cajochen@upkbs.ch, sarah.chellappa@gmail.com (C. Cajochen).

http://dx.doi.org/10.1016/j.bbr.2014.05.050 0166-4328/© 2014 Published by Elsevier B.V.

G Model BBR 8941 1-7

2

ARTICLE IN PRESS

to sleep when light is presented during their active period. Fur-47 thermore, melanopsin knockout mice (Opn4-/-) show attenuated 48 NREM sleep delta power, a reliable marker of sleep homeosta-40 sis, during light exposure at their active period, indicating that 50 melanopsin pathways modulate sleep homeostasis [9]. In humans, 51 light's wavelength-dependency on sleep is such that monochro-52 matic blue (460 nm), relative to green light (550 nm), impacts on 53 the dynamics of NREM sleep EEG activity, with less SWA in the 54 first sleep cycle and a rebound in the third sleep cycle [10]. In a 55 study using polychromatic light settings, morning light reduces 56 subsequent sleep duration by ca. 1 h (particularly REM sleep dura-57 tion), with no impact on EEG activity from 0.25 to 15 Hz for 58 NREM sleep and REM sleep [11]. Conversely, evening polychro-59 matic light exposure has been shown to increase NREM stage 2 60 latency [11,12]. These numerous light effects on sleep point to indi-61 vidual differences that may modulate the impact of light. Novel 62 data indicate that a variable-number tandem-repeat (VNTR) poly-63 morphism in the clock gene PERIOD3 (PER3) impacts on cognitive 64 brain responses to light [13], and also on melatonin suppression 65 and subjective/objective alerting action of light [14]. However, it is 66 currently unknown if a differential short-term responses to light 67 on human sleep phenotypes are modulated by the PER3 VNTR polymorphism. Here we investigated if individual differences in 69 non-image-forming responses to light on sleep depend on a PER3 70 polymorphism directly involved in sleep-wake regulation [15]. 71

2. Methods

73

2.1. Participants

Detailed description of the study participants, selection cri-74 teria and study protocol is provided elsewhere [14]. Eighteen healthy male volunteers (20–28 years; mean \pm SEM: 25.9 \pm 1.2) 76 homozygous for the PER3 polymorphism (9 PER34/4, 9 PER35/5) 77 were matched by age, body-mass index (BMI), and ethnicity. No 78 significant differences were observed between the two groups for 70 age, BMI, and ethnicity (all Caucasians). All participants gave writ-80 ten informed consent. The study was approved by the local ethics 81 committee (EKBB/Ethikkommission beider Basel, Switzerland) and 82 conformed to the Declaration of Helsinki. 83

2.2. Protocol

A balanced cross-over design study was carried out during the winter season (January to March), with three segments separated by one week. The protocol started 10h after volunteers' habit-87 ual wake-up time and ended the next day after usual wake-up 88 time. Sleep-wake schedules were assessed by wrist actigraphy 89 (actiwatch L, Cambridge Neurotechnology Ltd., Cambridge, UK) on and self-reported sleep logs. During each protocol, participants 91 underwent successively 1.5 h under dim light (<8 lx), 2 h under 92 complete darkness, 2 h light exposure (compact fluorescent lamps 93 with 6500 K or 2500 K or incandescent light bulbs at 3000 K), and 94 a post-light period of ca. 45 min under dim light (<8 lx) until habit-95 ual sleep time. In our study, prior light exposure was controlled 96 for; such that participants were under 1.5 h of dim light and 2 h of 97 darkness before light exposure. Controlling for prior light history 98 enhances subsequent sensitivity to light exposure [16]. As a result, 99 photoreceptor systems achieve a stable state of photo-equilibrium, 100 through a reduction in the 'bleaching effect' of previous light 101 exposure [17]. During the "pre-light exposure" (dim and dark), 102 participants continuously performed waking EEGs, a cognitive test 103 battery, salivary melatonin and cortisol samples, questionnaires for 104 105 visual comfort, visual analogue scales, subjective sleepiness scales, and mental effort scales, under the same conditions as for the light

exposure. In other words, they performed exactly the same tasks as for the light exposure, under virtually identical settings. The post-light exposure was used to slightly minimize the possibility of longer time to fall asleep (sleep latency to NREM stage 2), due to the immediately preceding light exposure. Each protocol was conducted at the same time-of-day (evening), and light intensity (ca. 40 lx) for each participant. Light at 40 lx was used since it is a typical indoor environmental intensity in naturalistic settings, during the evening hours. The treatment order (6500 vs. 2500 K vs. 3000 K) was counter-balanced to avoid possible order effects of the light conditions. Detailed information of light settings and study rationale are provided in [18]. Here we report data on light exposure to 6500 K and 2500 K, because exposure to 2500 K and 3000 K resulted in very similar effects. 107

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

2.3. Genotyping

DNA was extracted with the NucleoSpin[®]Tissue Kit (Marchery-Nagel AG, Oensingen, Switzerland). All genotypes were determined with allele-specific polymerase chain reaction (PCR) on a MJ Research PTC-225 thermal cycler (MJ Research/Bio-Rad, Reno, NV, USA) using Hot FIREPol[®] DNA polymerase and a forward and reverse primer. The *PER3* forward primer was as follows: 5' TTACAGGCAACAATGGCAGT 3' and the reverse primer: 5' CCACTAC-CTGATGCTGCTGA 3' (annealing temperature: 59 °C, 25 mM MgCl₂).

2.4. Subjective perception of Visual comfort

To assess each participant's subjective perception of visual comfort, we utilized the validated visual comfort scale (VCS) [19], which consists of a visual analogue scale with a 100 mm scale that probes visual well-being, comfort, and brightness.

2.5. Salivary melatonin

Salivary melatonin was assessed every 40 min during wakefulness. A direct double-antibody radioimmunoassay was used for melatonin assays (validated by gas chromatography-mass spectroscopy with an analytical least detectable dose of 0.65 pm/mL; Bühlmann Laboratory, Schönenbuch, Switzerland) [20]. The minimum detectable dose of melatonin (analytical sensitivity) was set at 0.2 pg/ml.

2.6. Polysomnographic recordings

Sleep EEG activity was recorded continuously during the scheduled sleep period with the Vitaport Ambulatory system (Vitaport-3 digital recorder TEMEC Instruments BV, Kerkrade, the Netherlands). Eight EEG derivations (F3, F4, C3, C4, P3, P4, O1, O2, referenced against linked mastoids, A1 and A2), two electrooculograms, two submental electromyograms, and two electrocardiograms were recorded. All signals were low pass filtered at 30 Hz (fourth order Bessel type anti-aliasing, total 24 dB/Oct) at a time constant of 1.0 s. After online digitization by using a 12 bit AD converter $(0.15 \,\mu\text{V/bit})$ and a sampling rate at 128 Hz for the EEG, the raw signals were stored on a flash RAM card (Viking, Rancho Santa Margarita, CA, USA) and later downloaded to a PC hard drive. Sleep stages were visually scored per 20 s epochs (Vitaport Paperless Sleep Scoring Software), according to [21], by a single experienced polysomnography technician, blind to genotype and light conditions. NREM sleep was defined as the sum of NREM stages 2, 3, and 4. Slow wave sleep (SWS) was defined as the sum of NREM sleep stages 3 and 4. EEG artifacts were detected by an automated artifact algorithm (CASA, 2000 PhyVision B.V., Gemert, The Netherlands). Spectral analysis was conducted using a fast Fourier transformation (FFT; 10% cosine 4s window), which

Please cite this article in press as: Chellappa SL, et al. Light modulation of human sleep depends on a polymorphism in the clock gene *Period3*. Behav Brain Res (2014), http://dx.doi.org/10.1016/j.bbr.2014.05.050

ARTICLE IN PRESS

yielded a 0.25 Hz bin resolution. EEG power spectra were calculated
during NREM sleep and REM sleep in the frequency range from 0
to 32 Hz. Artifact-free 4 s epochs were averaged across 20 s epochs.
Since no lateralization effects were observed for the genotypes and
light conditions, here we report EEG data for frontal (F3, F4), central
(C3, C4), parietal (P3, P4) and occipital (O1, O2) derivations, in the
frequency range of 0.50–20 Hz.

172 2.7. Statistical analysis

For all analysis, we used the statistical package SAS (version 9.1; 173 SAS Institute, Cary, NC). Visually scored sleep stages were expressed 174 as percentages of total sleep time (TST) or minutes of TST. All-night 175 EEG power density in NREM sleep was analyzed for frontal, cen-176 tral, parietal and occipital derivations for each 0.25 Hz frequency 177 bin, with factors 'genotype' (PER3^{5/5} and PER3^{4/4}), 'light condi-178 tion' (6500 K and 2500 K) and 'derivation' (frontal, central, parietal, 179 occipital). NREM-REM sleep cycles were defined according to [22]. 180 A mixed-model analysis of variance (PROC MIXED) was used with 181 factors 'genotype' (PER3^{5/5} and PER3^{4/4}), 'light condition' (6500 K vs. 182 2500 K), 'derivation' (frontal, central, parietal, occipital), and 'cycle' 183 184 (cycles 1-4). All p-values derived from repeated-ANOVAs were based on Kenward-Rogers corrected degrees of freedom (signifi-185 cance level: p < 0.05). LS means statement was used for post-hocs, 186 and the Tukey-Kramer test was then used for the correction of mul-187 tiple comparisons. Subjective perception of visual comfort (visual 188 well-being and brightness) was analyzed with factors 'genotype' 189 and 'light condition'. Afterwards, to test the influence of bright-190 ness perception (from VCS) during light exposure on NREM sleep 191 SWA, we computed a linear regression between these two vari-192 ables (difference of brightness perception between light at 6500 K 193 to 2500 K with occipital NREM sleep SWA). We also compared if 194 the regression coefficients differed between the two genotypes by 195 using the coefficient comparisons derived for independent groups 196 of participants, as described in the applied linear regression method 197 [23]. 198

199 3. Results

200 3.1. Sleep structure

Average sleep-times for PER3^{5/5} and PER3^{4/4} participants were, 201 respectively, $23:55 \pm 0:14$ and $23:59 \pm 0:17$ (hours and minutes; 202 mean \pm SEM; *p* = n.s.), and wake-up times were, respectively, 203 $07:10\pm0:16$ and $07:14\pm0:15$ (hours and minutes; mean \pm SEM; 204 p = n.s.). Analysis of a two-way ANOVA with factors 'genotype' and 205 'light condition' on all-night sleep structure yielded no significant 206 group differences for sleep structure between both light conditions 207 (Table 1). Analysis of sleep structure per sleep cycle (cycles 1-4) 208 revealed no significant differences between the two genotypes after 209 210 the light conditions. Furthermore, sleep stage and sleep cycle analysis for pooled data (all participants, irrespective of genotype: n = 18) 211 did not yield any significant differences (data not shown). 212

213 3.2. Sleep EEG power density

A two-way r-ANOVA with the factors 'genotype' and 'light condi-214 tion', for each derivation separately, yielded significant differences 215 for occipital NREM sleep slow-wave activity range (absolute val-216 ues for frequency bins in the range of 1.0 to 4.5 Hz) $(F_{1,109} > 4.3;$ 217 p < 0.04). Fig. 1 shows that after light at 6500 K, PER3^{5/5} had signifi-218 cantly higher occipital NREM EEG power density in the slow-wave 219 activity range (1.0–4.5 Hz), relative to $PER3^{4/4}$ participants (p < 0.05; 220 Tukey-Kramer test). All-night REM sleep EEG power density did not 221 222 significantly differ between the genotypes for both light conditions (data not shown). Given the genotype effects on all-night NREM 223

Period3. Behav Brain Res (2014), http://dx.doi.org/10.1016/j.bbr.2014.05.050

sleep SWA (for frequency bins in the range of 1.0 to 4.5 Hz), we then analyzed the dynamics of this SWA frequency range (1.0–4.5 Hz) across the NREM-REM sleep cycles. Analysis of variance with factors 'genotype', 'light condition', 'cycle', and 'derivation' yielded significant effects for 'light condition' ($F_{1,186}$ = 6.9; p < 0.05), 'cycle' ($F_{2,196}$ = 41.4; p < 0.05), and 'derivation' ($F_{3,188}$ = 7.8; p < 0.05). A three-way r-ANOVA with the interaction of 'genotype', 'light condition' and 'cycle', per derivation, elicited no significant differences for NREM sleep SWA (Fig. 2). Furthermore, all-night sleep EEG power density analysis for pooled data (all participants, irrespective of genotype: n = 18) did not yield any significant differences for the interaction 'light condition' and 'cycle', per derivation (data not shown).

3.3. Subjective perception of visual comfort and relationship to NREM SWA

During 2-h of light exposure, PER35/5 individuals experienced light at 6500 K as significantly more bright (mean \pm SEM: 39.1 \pm 5.5) than $PER3^{4/4}$ (mean ± SEM: 20.7 ± 7.1) (2-way r-ANOVA with factors 'genotype' and 'light condition'; $F_{1,16} = 1.4$; p = 0.03). No genotype-driven differences were observed for visual well-being. To test the degree of subjective perception of brightness with NREM sleep SWA, we then computed a linear regression between these two variables. Exposure to light at 2500 K did not elicit a significant regression between the perception of brightness and occipital NREM SWA for either genotype. Conversely, the log-transformed difference between light of 6500 K and 2500 K for PER34/4 individuals did not show a significant regression between brightness perception and occipital NREM SWA (r = -0.63; p < 0.1), while *PER3*^{5/5} individuals had a significant, positive regression (r = 0.34, p = 0.04) (Fig. 3). Comparison of regressions between PER3^{4/4} and *PER3*^{5/5} was significantly different (p = 0.04).

4. Discussion

Our data indicate that evening light exposure elicits short-term effects onto the subsequent sleep episode that may depend on a polymorphism in the clock gene *PER3*. Individuals homozygous for the longer allele of the clock gene *PER3* (*PER3*^{5/5}) appear to be more sensitive to blue-enriched light, relative to carriers of the shorter allele (*PER3*^{4/4}). This was indexed subjectively by their perception of light at 6500 K as being brighter, and objectively by their higher levels of occipital NREM sleep SWA throughout the entire sleep episode, following light exposure at 6500 K.

4.1. Light-dependent sleep and brain function: PER3 polymorphism

Sleep phenotypes exhibit large inter-individual differences presumably driven by a plurality of genes that contribute to differences in sleep architecture, timing and duration in mice and humans [24]. A VNTR polymorphism within the coding region of the clock gene PER3 contains a 54-nucleotide unit that is repeated four (PER34 allele) or five (PER3⁵ allele) times in humans [25]. Homozygosity for the longer allele (PER3^{5/5}) predicts changes in sleep structure and EEG slow oscillations, which conjunctly indicate higher homeostatic sleep pressure [15]. The cerebral correlates, as assessed by a fMRI study [26], indicate that under high sleep pressure PER3^{5/5} individuals exhibit widespread reductions in the activation of prefrontal, temporal, parietal and occipital areas, compared to PER34/4 carriers. Recently, polymorphisms in this clock gene have been implicated in the differential responses to light in mice and humans [13,14,27]. A functional knockout of Period3 in mice mPer3(-/-) results in altered sensitivity to light, such that mice deficient for Per3 have attenuated non-image forming responses 224

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

276

277

278

279

280

281

282

283

Please cite this article in press as: Chellappa SL, et al. Light modulation of human sleep depends on a polymorphism in the clock gene

ARTICLE IN PRESS

S.L. Chellappa et al. / Behavioural Brain Research xxx (2014) xxx-xxx

4	
-	

 Table 1

 All-night sleep variables for PER3^{4/4} and PER3^{5/5} individuals following light exposure to 6500 K and 2500 K.

	PER3 ^{4/4}		PER3 ^{5/5}		p-Values	p-Values		
	6500 K	2500 K	6500 K	2500 K	Genotype	Light	$Genotype \times light$	
TST	385.5 ± 4.8	361.5 ± 5.3	392.4 ± 6.3	392.8 ± 5.4	0.09	0.1	0.09	
SE (%)	94.4 ± 1	89.7 ± 3.7	95.7 ± 0.8	94.9+1	0.2	0.09	0.2	
Wake (%)	3.3 ± 1	8.3 ± 3.8	2.4 ± 0.7	3.1 ± 0.8	0.2	0.08	0.1	
Stage 1 (%)	10.5 ± 1	10.3 ± 1	11.3 ± 0.9	10.1 ± 0.9	0.8	0.3	0.4	
Stage 2 (%)	53.1 ± 2.2	52.9 ± 2	50.6 ± 1.7	53.9 ± 2.9	0.7	0.4	0.4	
Stage 3 (%)	10.7 ± 1.3	12.7 ± 1.3	10 ± 1.3	8.8 ± 0.9	0.1	0.7	0.1	
Stage 4 (%)	3.9 ± 1.3	4.8 ± 1.4	9.1 ± 2.2	9.1 ± 2.3	0.09	0.1	0.3	
SWS (%)	14.7 ± 2.4	17.5 ± 2.1	19.1 ± 1.4	18.1 ± 1.9	0.4	0.4	0.07	
NREM (%)	67.8 ± 1.6	70.5 ± 1.3	69.7 ± 1.2	71.9 ± 2.2	0.3	0.1	0.9	
REM (%)	21.6 ± 1.6	19.1 ± 1.8	18.9 ± 1.5	17.9 ± 2.2	0.3	0.2	0.6	
MT (%)	2.3 ± 0.3	2.1 ± 0.3	1.9 ± 0.2	2.1 ± 0.4	0.4	0.9	0.4	
Arousal (%)	5.6 ± 0.6	10.4 ± 1.2	4.3 ± 0.5	5.2 ± 0.8	0.2	0.09	0.07	

Q5 TST, total sleep time; SE, sleep efficiency [(Stages 1–4 REM)/(time after lights off – time lights on) × 100]; wake, wakefulness after lights off (%TST); SWS, slow wave sleep (Stages 3+4) (%TST); NREM, non-rapid eye movement sleep (Stages 2–4) (%TST); REM, rapid eye movement sleep (%TST); MT, movement time (%TST); arousal, wake + movement time (%TST); values are depicted as mean ± standard error of the mean.

to light [27], similar to those described for melanopsin knockout 284 mice (Opn4-/-) [4]. In humans, fMRI data show that under high 285 sleep pressure, monochromatic blue light, relative to green light, 286 increases cognitive brain responses in a left thalamofrontoparietal 287 circuit only in PER3^{5/5} individuals [13]. Thus light elicits strong acti-288 vating effects in individuals genetically suceptible to sleep loss, 289 when they are under high homeostatic sleep pressure. Recently, 290 light at 6500 K attenuated endogenous melatonin and enhanced 291 the alerting response to light, as indexed by less subjective sleepi-292 ness and waking theta activity (5-7 Hz), only in PER3^{5/5} individuals 293 [14]. Nevertheless, there is no evidence to data that PER3 polymor-294 phism is directly involved in a differential melanopsin signaling 295 pathway. Here we show that this genotype-driven light depen-296 dency significantly impacts on sleep phenotypes, as indexed by the 297 higher occipital NREM SWA throughout a sleep episode following 298 blue-enriched light, in PER35/5 individuals. We previously argued 299 that the increased sensitivity to light, as indexed by more melatonin 300 suppression in PER3^{5/5}, most likely impacted on brain structures 301

involved in arousal regulation [14]. Thus, we computed a linear regression between the differences of melatonin suppression by light at 6500 K to light at 2500 K, relative to occipital NREM sleep SWA. As indicated in Supplementary Fig. 1, light-dependent melatonin effects do not predict significant changes in occipital SWA in either genotype. Given our significant regression of brightness perception with occipital SWA, one tempting speculation is that the blue-enriched light effects on sleep may be mediated by genotype differences in the melanopsin-based discrimination of brightness.

302

303

304

305

306

307

308

309

310

311

312

4.2. Is irradiance perception a key to understand sleep phenotypes?

Our data indicate that $PER3^{5/5}$ individuals perceive light at 6500 K as brighter than the $PER3^{4/4}$, which was significantly and positively related to their increased occipital NREM sleep SWA, while only a trend for negative regression was observed for the $PER3^{4/4}$. When data of all participants (n = 18) were pooled, the



PER3 4/4

Fig. 1. All-night EEG power density spectra during NREM sleep in frontal, central, parietal, and occipital derivations for *PER3*^{4/4} (upper panels) and *PER3*^{5/5} individuals (bottom panels). NREM sleep EEG power density values per 0.25 Hz bin following light exposure at 6500 K (black lines for *PER3*^{4/4} and red lines for *PER3*^{5/5}) are expressed as percentage of the corresponding average values following light exposure at 2500 K (horizontal dashed line: 100% of EEG power density following light exposure at 2500 K). Mean and standard error of the mean values are shown for each 0.25 Hz frequency bin from 0.75 to 20 Hz.^{*} 'Genotype' vs. 'light condition'; *p* < 0.05.

Please cite this article in press as: Chellappa SL, et al. Light modulation of human sleep depends on a polymorphism in the clock gene *Period3*. Behav Brain Res (2014), http://dx.doi.org/10.1016/j.bbr.2014.05.050

ARTICLE IN PRESS

S.L. Chellappa et al. / Behavioural Brain Research xxx (2014) xxx-xxx

PER3 4/4



Fig. 2. Time-course of NREM sleep slow-wave activity (SWA: 1.0–4.5 Hz) in frontal, central, parietal, and occipital derivations for *PER3*^{4/4} (upper panels) and *PER3*^{5/5} individuals (bottom panels). *x*-Axis corresponds to NREM sleep cycles 1–4, and *y*-axis corresponds to EEG power density values (μV2) for SWA following light at 6500 K (black lines for *PER3*^{4/4} and red lines for *PER3*^{5/5}) and light at 2500 K (black dashed lines for *PER3*^{4/4} and red dashed lines for *PER3*^{5/5}). Data are presented as mean ± standard error of means. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

regression between perception of brightness and occipital NREM 318 SWA was not significant (Supplementary Fig. 2). This reinforces 319 the assumption that, only for the PER3^{5/5} individuals, percep-320 tion of blue-enriched light as being brighter impacts on occipital 321 SWA. Our data suggest that, the more blue light is perceived as 322 bright, the more the occipital cortex (involved in visual process-323 ing [28]) may be "engaged" during light exposure. As a result, this 324 may have increased occipital NREM SWA throughout the sleep 325 episode, but only for PER3^{5/5} individuals. FMRI cortical responses 326 to lightness variations in the human visual system indicate that 327 early cortical activity in retinotopic areas, particularly in the 328 primary visual cortex, relates to context-dependent lightness vari-329 ations [28]. Lightness and brightness are intertwined constructs, as 330

brightness comprises the observed surface luminance closely related to lightness per se [29]. Thus, higher perception of brightness may directly activate early visual cortical areas.

What are the possible photic signaling pathways that account for the activation in these retinotopic areas? The ipRGCs also play a role to conventional visual pathways, as their projections extend to the dorsal lateral geniculate nucleus (dLGN), the origin of thalamo-cortical projection neurons [30]. In mice devoid of rods and cones, melanopsin input promotes widespread light responses in the dLGN and visual cortex, as well as irradiancedependent increases in the firing rate of these neurons [30]. Thus, melanopsin photoreception is a major source of light input to the thalamo-cortical visual system, modulating irradiance and visual



Fig. 3. Linear regression between the subjective perception of brightness (*x*-axis: log-transformed difference between the perception of brightness derived from the VCS questionnaire, for light exposure at 6500 K to light at 2500 K) and EEG power density values (*y*-axis: log-transformed data) for occipital NREM sleep SWA (1.0–4.5 Hz) for *PER3*^{4/4} (left panel) and *PER3*^{5/5} individuals (right panel).

Please cite this article in press as: Chellappa SL, et al. Light modulation of human sleep depends on a polymorphism in the clock gene *Period3*. Behav Brain Res (2014), http://dx.doi.org/10.1016/j.bbr.2014.05.050

331

332

333

334

335

336

337

338

339

340

341

342

343

6

ARTICLE IN PRESS

S.L. Chellappa et al. / Behavioural Brain Research xxx (2014) xxx-xxx

responses. ipRGCs also contribute to brightness discrimination in 344 healthy sighted humans [31]. However, visual perception of light 345 and luminance are relayed to the primary visual cortex [28], which 346 hints to the involvement of the image forming system in brightness 347 perception via classical photoreceptors. Thus our key finding that 348 brightness perception impacts on occipital NREM slow-wave sleep 349 is likely to be modulated by both NIF and image-forming systems. 350 Furthermore, this increased SWA in the occipital cortex after blue-351 light exposure may hint to a local use-dependent phenomenon, 352 here indexed by an overall nocturnal increase in occipital SWA, 353 instead of specific changes occurring only during the first sleep 354 NREM-REM cycle. Sleep need is partly regulated at the local cortical 355 level [32], such that NREM SWA may reflect prior "use" of specific 356 neuronal circuits, as well as changes in synaptic strength within 357 those networks [33]. Therefore, experience-dependent plasticity 358 may account for sleep need. 359

The question remains as to why enhanced perception of blue-360 enriched light triggers higher occipital NREM SWA only in PER3^{5/5} 361 individuals. To our best knowledge, the causality for the interaction 362 of light and PER3 effects on sleep in mice and humans is a mystery. 363 Further studies investigating retinal clock gene expression of Per3 364 365 in mouse models, such as Per3 - / - knockout mice during exposure to different light wavelengths and how different melanopsin-based 366 discrimination of brightness impact on sleep-wake phenotypes are 367 avenues to look forward to. 368

Recently, we showed that, in 30 young volunteers, blue-369 enriched polychromatic light exposure (6500 K) at relatively low 370 room light levels (ca. 40 lx) impacts on sleep homeostatic regula-371 tion, as indexed by less frontal SWA during the first NREM sleep 372 episode [34]. The dissimilarity of those results relative to our cur-373 rent ones may be driven by the type of study sample. In that 374 study, participants (n=30) were selected from a random distri-375 bution of the general population, as at the time of recruitment 376 and participation to the study, their genotypes were unknown. 377 In a retrospective assessment, we analyzed the PER3 polymor-378 phism genotypes of those participants. Out of 30 individuals, 12 379 are $PER3^{4/4}$ (40%), 14 are $PER3^{4/5}$ (46%) and 4 are $PER3^{5/5}$ (14%), 380 which mirrors the PER3 polymorphism distribution from other 381 studies carried out at similar latitudes (52°) and ethnicity back-382 ground (Caucasians) [15,35]. Conversely, our study was purported 383 to assess how a specific clock gene polymorphism differentially 384 impacts on NIF responses to light on sleep phenotypes. Thus, our 385 study sample was selectively composed of 9 PER3^{4/4} and 9 PER3^{5/5}. 386 Importantly, PER3 polymorphism was used as a tool to probe indi-387 vidual differences in NIF effects of light modulate sleep EEG activity. 388 To date, this polymorphism is the only one known to differentially 389 impact on the effects of light on melatonin suppression/alerting 390 effect of light [14] and cognitive brain function [13]. In this study, 391 PER3 polymorphism (known to impact on sleep-wake regulation) 392 was used as a means to understand how individual differences to 393 light effects may impact on sleep. However, it is extremely likely 394 that a set of functional polymorphisms act conjunctly to medi-395 ate these effects. Future studies with larger samples may provide 396 a conclusion as to how this clock gene polymorphism modulates 397 light effects on sleep. Our data indicate that the impact of light 398 on human sleep phenotypes may be modulated by a clock gene 399 polymorphism. Ultimately, these findings may help to understand 400 the individual variability of the non-image forming responses to 401 light. 402

403 **Conflict of interest statement**

404 All authors disclose no conflicts of interest.

Acknowledgments

We thank Prof. Pierre Maquet, Dr. Gilles Vandewalle and Vincenzo Muto for the interesting and stimulating discussions. We thank Claudia Renz, Giovanni Balestrieri, and Marie-France Dattler for help in data acquisition, Dr. Peter Blattner and Dr. Ronald Steiner for the light settings, and Dieter Lang from Osram for providing CFLs.

Institution where study was performed: Centre for Chronobiology, Psychiatric Hospital of the University of Basel, Basel, Q3 413 Switzerland.Financial support: This study was supported by the Swiss Federal Office for Public Health. Q4 415

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.bbr.2014.05.050.

References

- Hattar S, Liao HW, Takao M, Berson DM, Yau KW. Melanopsin-containing retinal ganglion cells: architecture, projections, and intrinsic photosensitivity. Science 2002;295:1065–70.
- [2] Belenky MA, Smeraski CA, Provencio I, Sollars PJ, Pickard GE. Melanopsin retinal ganglion cells receive bipolar and amacrine cell synapses. J Comp Neurol 2003;460:380–93.
- [3] Guler AD, Ecker JL, Lall GS, Haq S, Altimus CM, Liao HW, et al. Melanopsin cells are the principal conduits for rod-cone input to non-image-forming vision. Nature 2008;453:102–5.
- [4] Ruby NF, Brennan TJ, Xie X, Cao V, Franken P, Heller HC, et al. Role of melanopsin in circadian responses to light. Science 2002;298:2211–3.
- [5] Chellappa SL, Gordijn MC, Cajochen C. Can light make us bright? Effects of light on cognition and sleep. Prog Brain Res 2011;190:119–33.
- [6] Vandewalle G, Collignon O, Hull JT, Daneault V, Albouy G, Lepore F, et al. Blue light stimulates cognitive brain activity in visually blind individuals. J Cogn Neurosci 2013;16:16.
- [7] Enezi J, Revell V, Brown T, Wynne J, Schlangen L, Lucas R. A "melanopic" spectral efficiency function predicts the sensitivity of melanopsin photoreceptors to polychromatic lights. J Biol Rhythms 2011;26:314–23.
- [8] Lupi D, Oster H, Thompson S, Foster RG. The acute light-induction of sleep is mediated by OPN4-based photoreception. Nat Neurosci 2008;11:1068–73.
- [9] Tsai JW, Hannibal J, Hagiwara G, Colas D, Ruppert E, Ruby NF, et al. Melanopsin as a sleep modulator: circadian gating of the direct effects of light on sleep and altered sleep homeostasis in Opn4(–/–) mice. PLoS Biol 2009;7:9.
- [10] Munch M, Kobialka S, Steiner R, Oelhafen P, Wirz-Justice A, Cajochen C. Wavelength-dependent effects of evening light exposure on sleep architecture and sleep EEG power density in men. Am J Physiol Regul Integr Comp Physiol 2006;290:26.
- [11] Carrier J, Dumont M. Sleep propensity and sleep architecture after bright light exposure at three different times of day. J Sleep Res 1995;4:202–11.
- [12] Cajochen C, Dijk DJ, Borbely AA. Dynamics of EEG slow-wave activity and core body temperature in human sleep after exposure to bright light. Sleep 1992;15:337–43.
- [13] Vandewalle G, Archer SN, Wuillaume C, Balteau E, Degueldre C, Luxen A, et al. Effects of light on cognitive brain responses depend on circadian phase and sleep homeostasis. J Biol Rhythms 2011;26:249–59.
- [14] Chellappa SL, Viola AU, Schmidt C, Bachmann V, Gabel V, Maire M, et al. Human melatonin and alerting response to blue-enriched light depend on a polymorphism in the clock gene PER3. J Clin Endocrinol Metab 2012;97:2011–391.
- [15] Viola AU, Archer SN, James LM, Groeger JA, Lo JC, Skene DJ, et al. PER3 polymorphism predicts sleep structure and waking performance. Curr Biol 2007;17:613–8.
- [16] Chellappa SL, Ly JQ, Meyer C, Balteau E, Degueldre C, Luxen A, et al. Photic memory for executive brain responses. Proc Nat Acad Sci USA 2014;111:6087–91.
- [17] Mure LS, Cornut PL, Rieux C, Drouyer E, Denis P, Gronfier C, et al. Melanopsin bistability: a fly's eye technology in the human retina. PLoS One 2009;4:0005991.
- [18] Chellappa SL, Steiner R, Blattner P, Oelhafen P, Gotz T, Cajochen C. Non-visual effects of light on melatonin, alertness and cognitive performance: can blueenriched light keep us alert? PLoS One 2011;6:0016429.
- [19] Boyce PR. Lighting research for interiors: the beginning of the end or the end of the beginning. Light Res Technol 2004;36:283–94.
- [20] Weber JM, Schwander JC, Unger I, Meier D. A direct ultrasensitive RIA for the determination of melatonin in human saliva: comparison with serum levels. J Sleep Res 1997;26:757.
- [21] Rechtschaffen A, Kales A. A manual of standardized terminology, techniques and scoring system for sleep stages of human subjects. Bethesda, MD: US Dept of Health, Education and Welfare, Public Health Service; 1968.
- [22] Feinberg I, Floyd TC. Systematic trends across the night in human sleep cycles. Psychophysiology 1979;16:283–91.

Please cite this article in press as: Chellappa SL, et al. Light modulation of human sleep depends on a polymorphism in the clock gene *Period3*. Behav Brain Res (2014), http://dx.doi.org/10.1016/j.bbr.2014.05.050

Q2 405

406

407

408

400

410

411

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

462

463

464

465

466

467

468

469

470

471

472

473

474

475

476

477

478

479

486

491

S.L. Chellappa et al. / Behavioural Brain Research xxx (2014) xxx-xxx

- 480 [23] Cohen J, Cohen P, West SG, Aiken LS. Applied multiple regression/correlation analysis for the behavioral sciences. 3rd ed. Hillsdale, New Jersey: Lawrence 481 482 Erlbaum Associates; 2002.
- 483 Landolt HP. Genetic determination of sleep EEG profiles in healthy humans. [24] 484 Prog Brain Res 2011;193:51-61. 485
- [25] Ebisawa T, Uchiyama M, Kajimura N, Mishima K, Kamei Y, Katoh M, et al. Association of structural polymorphisms in the human period3 gene with delayed 487 sleep phase syndrome. EMBO Rep 2001;2:342-6.
- [26] Vandewalle G, Archer SN, Wuillaume C, Balteau E, Degueldre C, Luxen A, et al. 488 Functional magnetic resonance imaging-assessed brain responses during an 489 executive task depend on interaction of sleep homeostasis, circadian phase, 490 and PER3 genotype. J Neurosci 2009;29:7948-56.
- [27] van der Veen DR, Archer SN. Light-dependent behavioral phenotypes in PER3-492 493 deficient mice. J Biol Rhythms 2010;25:3-8.
- [28] Boyaci H, Fang F, Murray SO, Kersten D. Responses to lightness variations in 494 early human visual cortex. Curr Biol 2007;17:989-93. 495
 - [29] Gilchrist AL. Lightness brightness. Curr Biol 2007;17:R267-9.

- [30] Brown TM, Gias C, Hatori M, Keding SR, Semo M, Coffey PJ, et al. Melanopsin contributions to irradiance coding in the thalamo-cortical visual system. PLoS Biol 2010;8:1000558.
- [31] Brown TM, Tsujimura S, Allen AE, Wynne J, Bedford R, Vickery G, et al. Melanopsin-based brightness discrimination in mice and humans. Curr Biol 2012;22:1134-41.
- [32] Vyazovskiy VV, Cirelli C, Pfister-Genskow M, Faraguna U, Tononi G. Molecular and electrophysiological evidence for net synaptic potentiation in wake and depression in sleep. Nat Neurosci 2008;11:200-8.
- [33] Tononi G, Cirelli C. Sleep function and synaptic homeostasis. Sleep Med Rev 2006;10:49-62.
- [34] Chellappa SL, Steiner R, Oelhafen P, Lang D, Gotz T, Krebs J, et al. Acute exposure to evening blue-enriched light impacts on human sleep. J Sleep Res 2013;20:12050.
- [35] Lazar AS, Slak A, Lo JC, Santhi N, von Schantz M, Archer SN, et al. Sleep, diurnal preference, health, and psychological well-being: a prospective single-allelicvariation study. Chronobiol Int 2012;29:131-46.

7

496

497

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512